JUL 2 6 2004 TENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/791,657

CHICAGO, IL 60606-1080

26263

P.O. BOX 061080

03/02/2004

Benjamin Schwarz

09799940-0135

CONFIRMATION NO. 2696

FORMALITIES LETTER

OC000000012740659

Date Mailed: 05/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$176 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

07/27/2004 RMEBRAHT 00000090 10791657

770.00 DP 86.00 DP 02 FC:1201 90.00 OP 03 FC:1202 130.00 OP 04 FC:1051

Total additional fee(s) required for this application is \$1076 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Total additional claim fee(s) for this application is \$176

■ \$86 for 1 independent claims over 3.

■ \$90 for 5 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: M.S. - Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on



Our Case No. CYP01-042-CON-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Benjamin Schwarz, et al.)
Serial No. 10/791,657) Customer Service Center
Filing Date: March 2, 2004) Initial Patent Examination Division)
For Gate Etch Process)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

M.S. - Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the Notice to File Missing Parts of Nonprovisional Application dated May 21, 2004, enclosed herewith for filing are the following documents:

Credit Card Payment Form \$1,076.00 (filing fee of \$946.00 and surcharge of \$130.00)

Copy of Original Notice to File Missing Parts of Nonprovisional Application

Declaration for Patent Application from parent case application Serial No. 10/099,841

Change of Correspondence Address

Additional fees required as follows:

Statutory Basic Filing Fee	770.00
Extra Claims over 20 (5 x \$18)	90.00
Extra Independent Claims over 3 (1 x \$42)	86.00
Oath or Declaration Surcharge	130.00
Total	1076.00

 \boxtimes The Commissioner is hereby authorized to charge any fees associated with this communication not covered by check or credit card payment or credit any overpayment to Deposit Account No. 50-3123. A duplicate copy of this sheet is attached.

Respectfully submitted,

Paul E. Rauch, Ph.D. Registration No. 38,591 Attorney for Applicant

Evan Law Group LLC 566 West Adams Suite 350 Chicago, Illinois 60661 (312) 876-1400